

Attorney Docket No. 566/41909  
PATENT



23646

PATENT TRADEMARK OFFICE

IFW

AUG 18 2004

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Josef Staltmeir et al. Conf. No.: 2739  
Serial No.: 10/770,400 Art Unit: 3683  
Filed: February 4, 2004 Examiner:  
For: BLOCK BRAKE DEVICE OF A BOGIE OF A RAIL VEHICLE

**SUBMISSION UNDER 37 C.F.R. 1.56, 1.97 & 1.98**  
**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

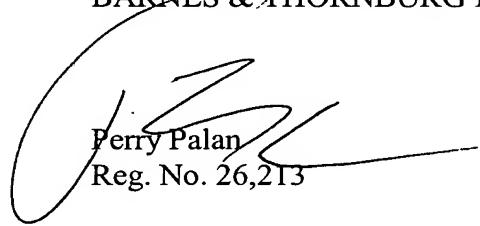
Sir:

To comply with the duty of disclosure set forth in 37 C.F.R. 1.56, the prior art listed on the attached PTO-1449 is submitted herewith to the Examiner for consideration in connection with the examination of the above-identified application. The references were cited as background state of the art in a European search report dated July 28, 2004 (copy enclosed) for a corresponding European application.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 02-1010 (566/41909).

Respectfully submitted,

BARNES & THORNBURG LLP

  
Perry Palan  
Reg. No. 26,213

Enclosure

ATTY DOCKET NO.

SERIAL NO.

566/41909

10/770,400

**LIST OF DOCUMENTS CITED BY APPLICANT**

(Use several sheets if necessary)



APPLICANT

Josef Staltmeir et al.

FILING DATE

February 4, 2004

ART UNIT

3683

**U.S. PATENT DOCUMENTS**

| EXAMINER INITIAL |    | DOCUMENT NUMBER | DATE       | NAME           | CLASS | SUBCLASS | FILING DATE IF APPROPRIATE |
|------------------|----|-----------------|------------|----------------|-------|----------|----------------------------|
|                  | AA | 5,667,040       | 09/16/1997 | Samulak et al. |       |          |                            |
|                  | AB | 5,785,159       | 07/28/1998 | Jackson et al. |       |          |                            |
|                  | AC | 5,817,375       | 10/06/1998 | Poplawski      |       |          |                            |
|                  | AD |                 |            |                |       |          |                            |
|                  | AE |                 |            |                |       |          |                            |
|                  | AF |                 |            |                |       |          |                            |
|                  | AG |                 |            |                |       |          |                            |
|                  | AH |                 |            |                |       |          |                            |

**FOREIGN PATENT DOCUMENTS**

| EXAMINER INITIAL |    | DOCUMENT NUMBER | DATE | COUNTRY | CLASS | SUBCLASS | TRANSLATION |    |
|------------------|----|-----------------|------|---------|-------|----------|-------------|----|
|                  |    |                 |      |         |       |          | Yes         | No |
|                  | AI |                 |      |         |       |          |             |    |
|                  | AJ |                 |      |         |       |          |             |    |
|                  | AK |                 |      |         |       |          |             |    |
|                  | AL |                 |      |         |       |          |             |    |
|                  | AM |                 |      |         |       |          |             |    |
|                  | AN |                 |      |         |       |          |             |    |

**OTHER PRIOR ART (Including Author, Title, Date Pertinent Pages, Etc.)**

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|--|----|--|--|
|  | AO |  |  |
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EXAMINER:

|| DATE CONSIDERED:

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.